# Multiple Whole Genome Alignment

BMI/CS 776
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### Goals for Lecture

### Key concepts

- the large-scale multiple-alignment task
- progressive alignment
- breakpoint identification
- undirected graphical models
- minimal spanning trees/forests

# Multiple Whole Genome Alignment: Task Definition

#### Given

- A set of n > 2 genomes (or other large-scale sequences)

#### Do

 Identify all corresponding positions between all genomes, allowing for substitutions, insertions/deletions, and rearrangements

# **Progressive Alignment**

(a) Guide tree

Step 1

Step 2

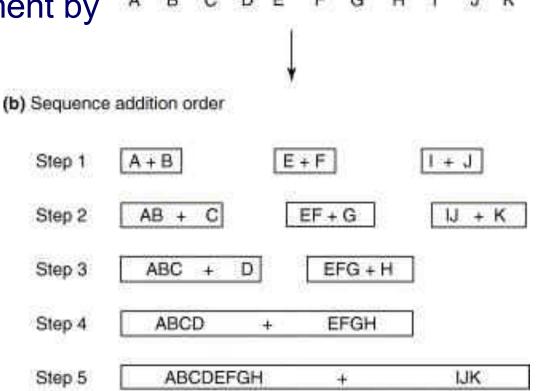
Step 3

Step 4

Step 5

Given a *guide tree* relating *n* genomes

Construct multiple alignment by performing *n*-1 pairwise alignments



# Progressive Alignment: MLAGAN Example

align pairs
of sequences
align multi-sequences
(alignments)

align multi-sequence
with sequence

### The MLAGAN Method

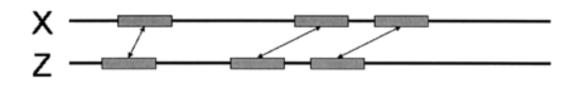
[Brudno et al., Genome Research, 2003]

```
Given: k genomes X^{l}, ..., X^{k}, guide tree T
    for each pair of genomes X^i, X^j
      anchors(i, j) = find anchors(X^i, X^j)
    align = progressive alignment(T, anchors)
    for each genome Xi
                                                         // iterative refinement
      anchors = segments of X^i with high scores in align
      align = LAGAN(align - X^i, X^i, anchors)
                                                         // realign X^i
progressive_alignment(T, anchors)
   if T is not a leaf node
        align left = progressive_alignment(T.left, anchors)
        align right = progressive alignment(T.right, anchors)
        align = LAGAN(align left, align right, anchors)
        return align
```

# Progressive Alignment: MLAGAN Example

### Suppose we're aligning the multi-sequence X/Y with Z

- 1. anchors from X-Z and Y-Z become anchors for X/Y-Z
- 2. overlapping anchors are reweighted
- 3. LIS algorithm is used to chain anchors





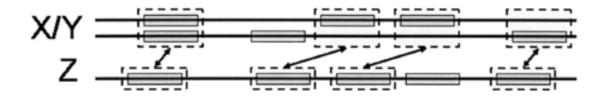
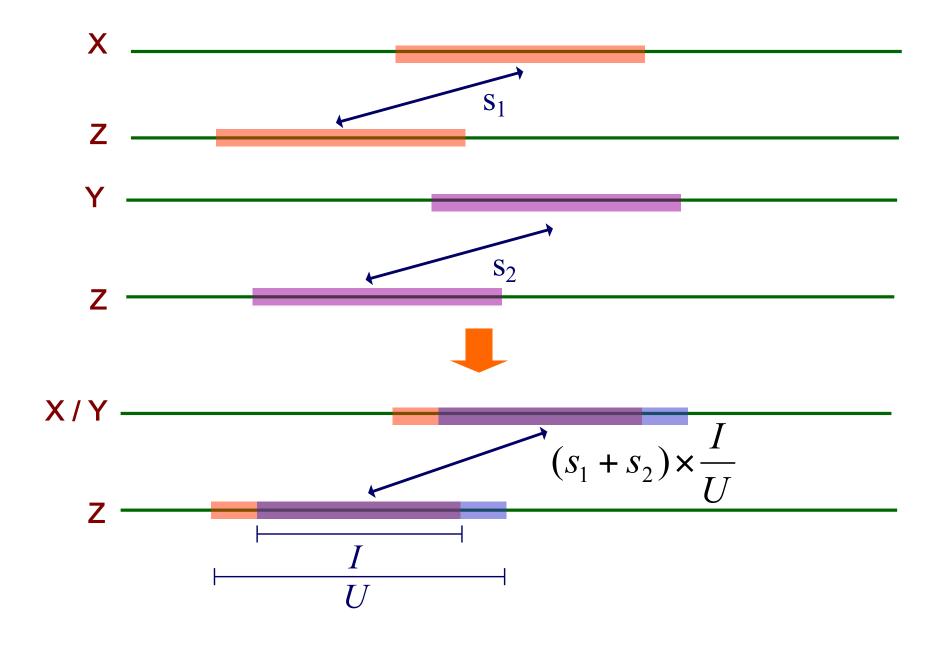
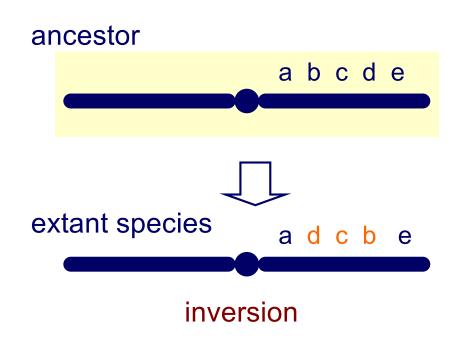


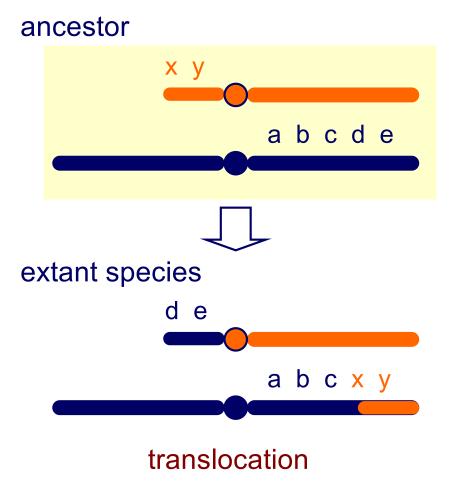
Figure from: Brudno et al. Genome Research, 2003

## Reweighting Anchors in MLAGAN



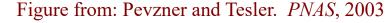
### Genome Rearrangements

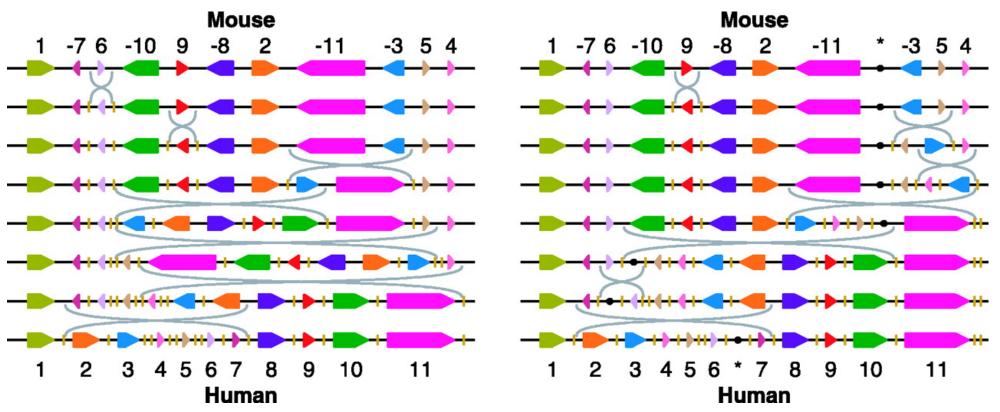




- Can occur within a chromosome or across chromosomes
- Can have combinations of these events

# Genome Rearrangement Example: Mouse vs. Human X Chromosome





- each colored block represents a syntenic region of the two chromosomes
- the two panels show the two most parsimonious sets of rearrangements to map one chromosome to the other

### The Mauve Method

[Darling et al., Genome Research, 2004]

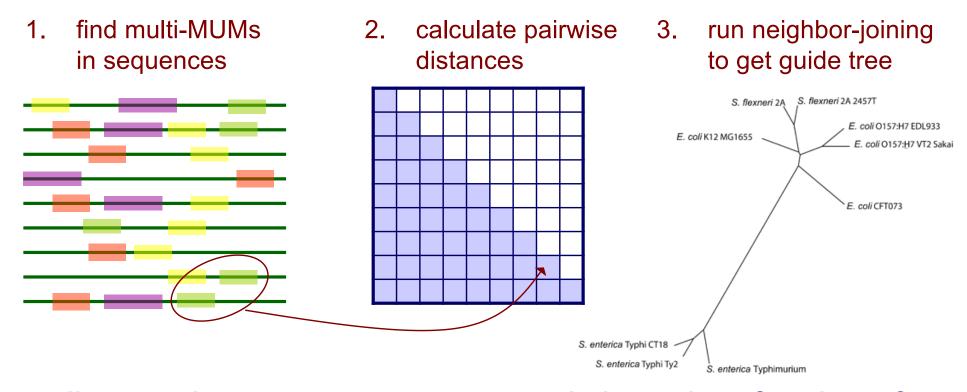
Given: k genomes  $X^1$ , ...,  $X^k$ 

- 1. find multi-MUMs (MUMs present in 2 or more genomes)
- 2. calculate a guide tree based on multi-MUMs
- 3. find LCBs (sequences of multi-MUMs) to use as anchors
- 4. do recursive anchoring within and outside of LCBs
- 5. calculate a progressive alignment of each LCB using guide tree

\* note: no LIS step!

# 2. Calculating the Guide Tree in Mauve

 unlike MLAGAN, Mauve calculates the guide tree instead of taking it as an input

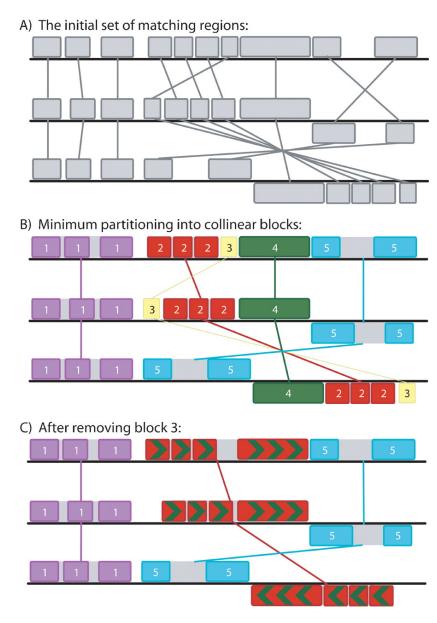


 distance between two sequences is based on fraction of sequences shared in multi-MUMs

# 3. Selecting Anchors: Finding Local Collinear Blocks

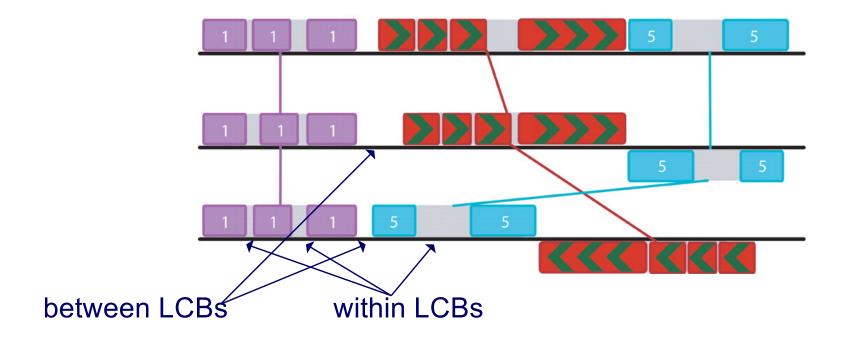
#### repeat

- partition set of multi-MUMs,
   M into collinear blocks
- find minimum-weight collinear block(s)
- remove minimum weight block(s) if they're sufficiently small until minimum-weight block is not small enough

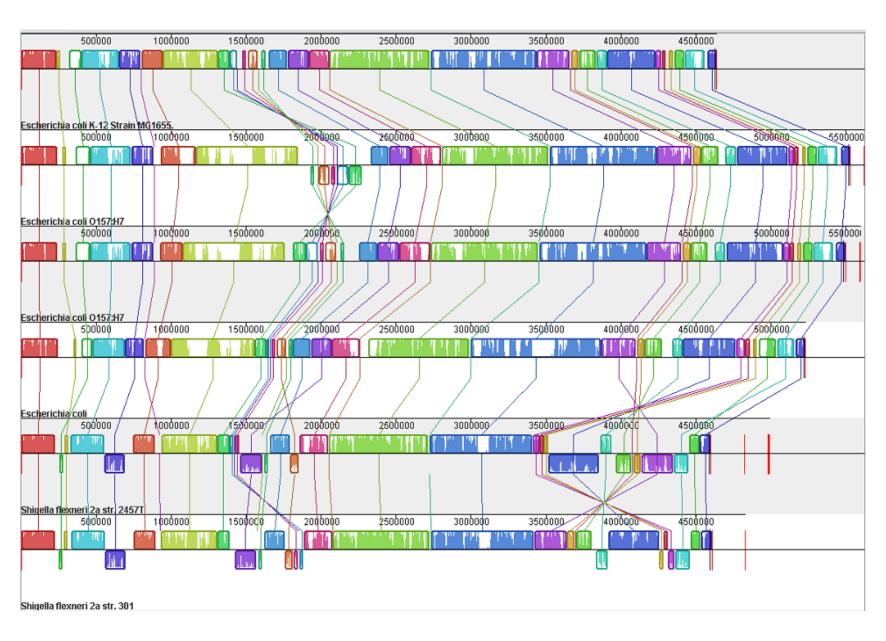


# 4. and 5. Recursive Anchoring and Gapped Alignment

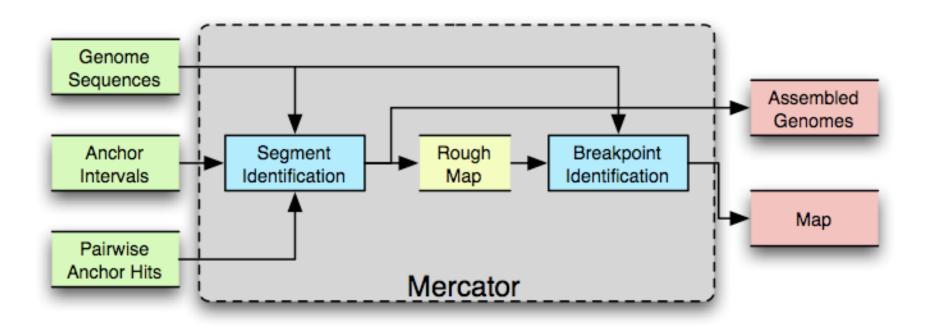
 recursive anchoring (finding finer multi-MUMs and LCBs) and standard alignment (CLUSTALW) are used to extend LCBs



# Mauve Alignment of 9 Enterobacteria (Shigella and E. coli)



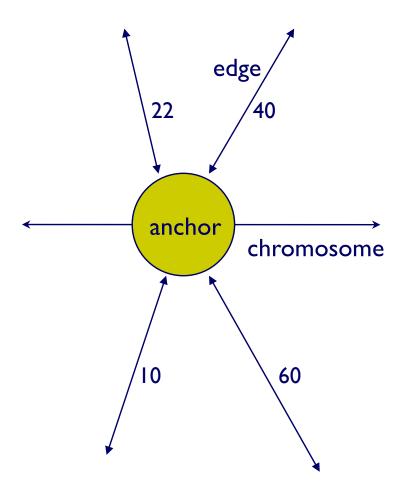
### Mercator



- Orthologous segment identification: graph-based method
- Breakpoint identification: refine segment endpoints with a graphical model

# Establishing Anchors Representing Orthologous Segments

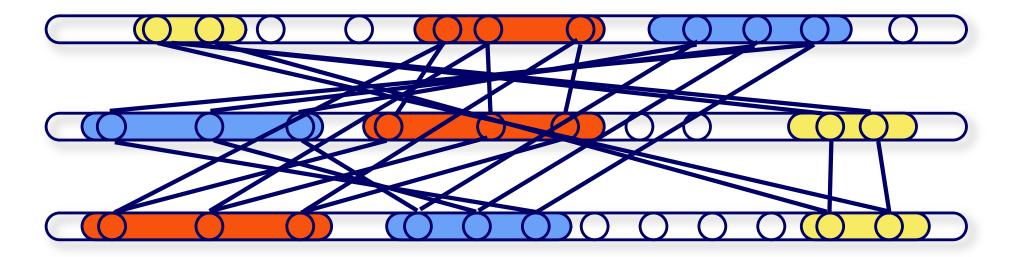
- Anchors can correspond to genes, exons or MUMS
- E.g., may do all-vs-all pairwise comparison of genes
- Construct graph with anchors as vertices and high-similarity hits as edges (weighted by alignment score)



# Rough Orthology Map

k-partite graph with edge weights

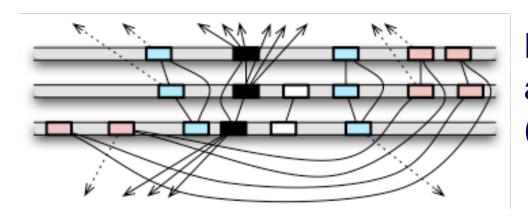
vertices = anchors, edges = sequence similarity



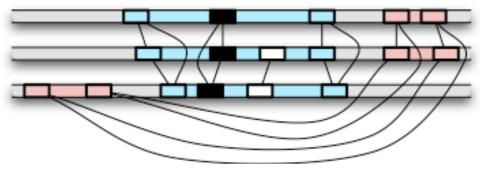
### **Greedy Segment Identification**

- for i = k to 2 do
  - identify repetitive anchors (depends on number of high-scoring edges incident to each anchor)
  - find "best-hit" anchor cliques of size ≥ i
  - join colinear cliques into segments
  - filter edges not consistent with significant segments

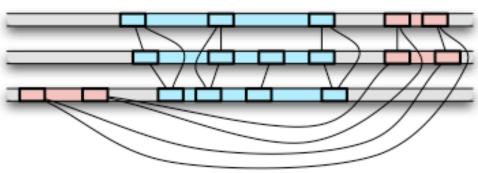
## Mercator Example



Repetitive elements (black anchors) are identified; 3-cliques (red and blue anchors) are found



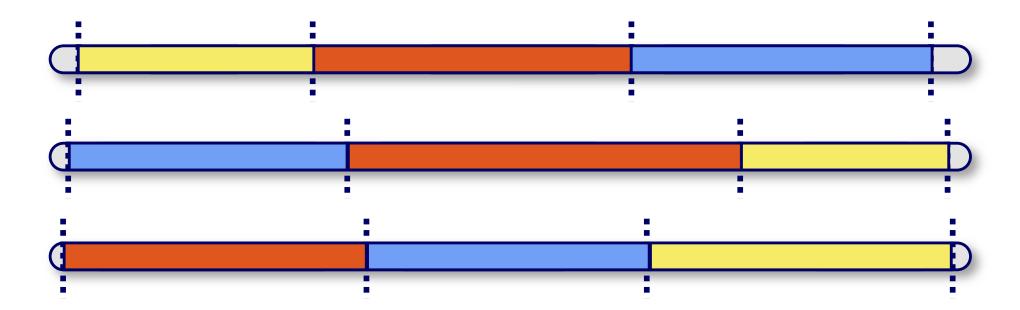
Segments are formed by red and blue anchors; inconsistent edges are filtered



2-cliques are found and incorporated into segments

# Refining the Map: Finding Breakpoints

 Breakpoints: the positions at which genomic rearrangements disrupt colinearity of segments



 Mercator finds breakpoints by using inference in an undirected graphical model

### Undirected Graphical Models

 An undirected graphical model represents a probability distribution over a set of variables using a factored representation

$$p(\mathbf{b}) = \frac{1}{Z} \prod_{C \in cliques} \psi_C(\mathbf{b}_C) \qquad (B_3)$$

$$B_5 \qquad (B_4)$$

$$B_6 \qquad (B_7)$$

- $B_i$  random variable
- **b** assignment of values to all variables (breakpoint positions)
- $\mathbf{b}_C$  assignment of values subset of variables in C
- $\psi_{\it C}$  function (called a potential) representing the "compatibility" of a given set of values
- Z normalization term

### Undirected Graphical Models

$$p(\mathbf{b}) = \frac{1}{Z} \prod_{C \in cliques} \psi_C(\mathbf{b}_C)$$

$$B_1$$

$$B_2$$

$$B_3$$

$$B_4$$

$$B_5$$

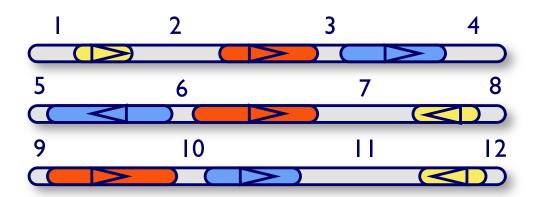
$$B_6$$

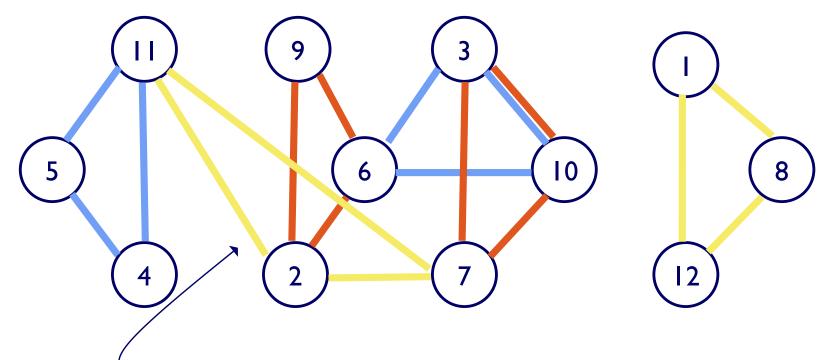
$$B_7$$

#### for the given graph:

$$p(\mathbf{b}) = \frac{1}{Z} \psi_1(b_1, b_3, b_5) \psi_2(b_1, b_6, b_7) \psi_3(b_2, b_4, b_6)$$

## The Breakpoint Graph





some prefix of region 2 and some prefix of region 11 should be aligned

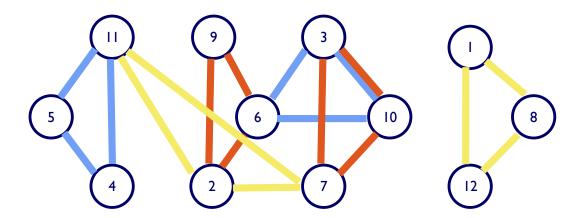
## Breakpoint Undirected Graphical Model

Mercator frames the task of finding breakpoints as an inference task in an undirected graphical model

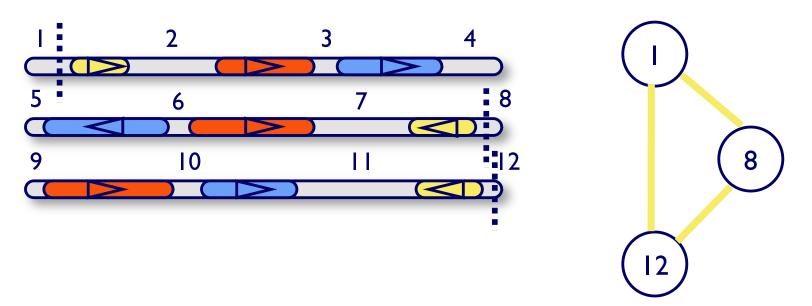
$$p(\mathbf{b}) = \frac{1}{Z} \prod_{C \in cliques} \psi_C(\mathbf{b}_C)$$

configuration of breakpoints

potential function representing score of multiple alignment of sequences in clique C for breakpoints in b



### Breakpoint Undirected Graphical Model



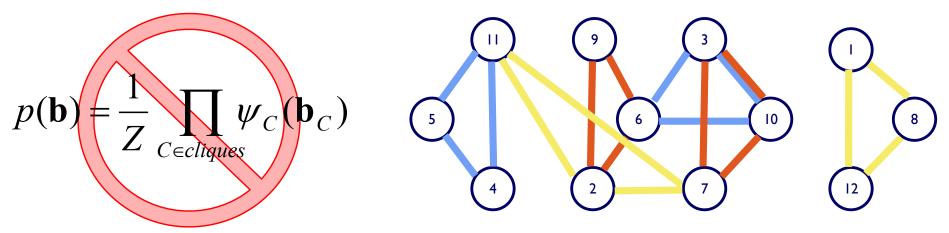
- The possible values for a variable indicate the possible coordinates for a breakpoint
- The potential for a clique is a function of the alignment score for the breakpoint regions split at the breakpoints  $\mathbf{b}_C$

## Breakpoint Undirected Graphical Model

$$p(\mathbf{b}) = \frac{1}{Z} \prod_{C \in cliques} \psi_C(\mathbf{b}_C)$$
<sup>5</sup>
<sup>6</sup>
<sup>10</sup>
<sup>8</sup>

- Inference task: find most probable configuration b of breakpoints
- Not tractable in this case
  - graph has a high degree of connectivity
  - multiple alignment is difficult
- So Mercator uses several heuristics

# Making Inference Tractable in Breakpoint Undirected Graphical Model



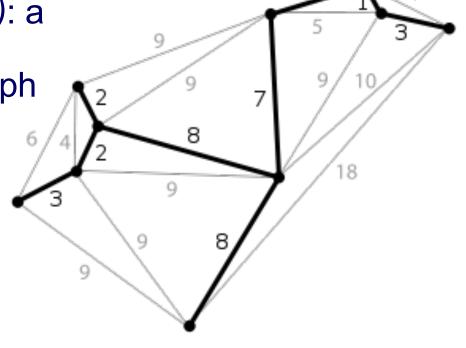
Assign potentials, based on pairwise alignments, to edges only

$$p(\mathbf{b}) = \frac{1}{Z} \prod_{(i,j) \in edges} \psi_{i,j}(b_i, b_j)$$

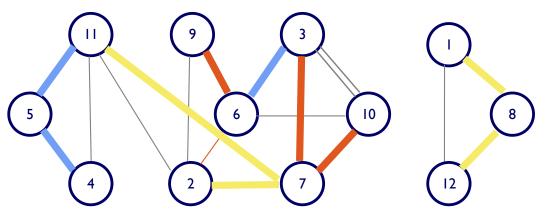
 Eliminate edges by finding a minimum spanning forest, where edges are weighted by phylogenetic distance

## Minimal Spanning Forest

 Minimal spanning tree (MST): a minimal-weight tree that connects all vertices in a graph



 Minimal spanning forest: a set of MSTs, one for each connected component



### **Breakpoint Finding Algorithm**

- construct breakpoint segment graph
- 2. weight edges with phylogenetic distances
- 3. find minimum spanning forest (MSF)
- 4. perform pairwise alignment for each edge in MSF
- 5. use alignments to estimate  $\psi_{i,j}(b_i,b_j)$
- 6. perform max-product inference (similar to Viterbi) to find maximizing  $b_i$

# Comments on Whole-Genome Alignment Methods

- Employ common strategy
  - find seed matches
  - identify (sequences of) matches to anchor alignment
  - fill in the rest with standard methods (e.g. DP)
- Vary in what they (implicitly) assume about
  - the distance of sequences being compared
  - the prevalence of rearrangements
- Involve a lot of heuristics
  - for efficiency
  - because we don't know enough to specify a precise objective function (e.g. how should costs should be assigned to various rearrangements)