

Multiple Whole Genome Alignment

BMI/CS 776

www.biostat.wisc.edu/bmi776/

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Mark Craven

craven@biostat.wisc.edu

Goals for Lecture

the key concepts to understand are the following

- the large-scale multiple-alignment task
- progressive alignment
- breakpoint identification
- undirected graphical models
- minimal spanning trees/forests

Multiple Whole Genome Alignment: Task Definition

Given

- a set of $n > 2$ genomes (or other large-scale sequences)
- a method for scoring the similarity of a pair of characters

Do

- construct global alignment: identify matches between genomes as well as various non-match features

The MLAGAN Method

[Brudno et al., *Genome Research*, 2003]

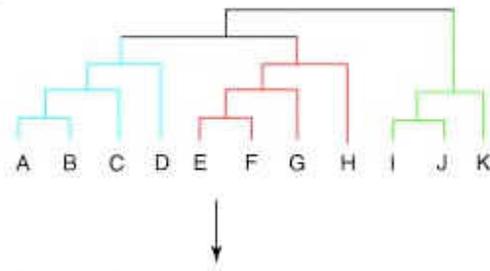
```
Given:  $k$  genomes  $X^1, \dots, X^k$ , guide tree  $T$ 
  for each pair of genomes  $X^i, X^j$ 
     $anchors(i, j) = \text{find\_anchors}(X^i, X^j)$ 
   $align = \text{progressive\_alignment}(T, anchors)$ 
  for each genome  $X^i$  // iterative refinement
     $anchors = \text{segments of } X^i \text{ with high scores in } align$ 
     $align = \text{LAGAN}(align - X^i, X^i, anchors)$  // realign  $X^i$ 

progressive_alignment( $T, anchors$ )
  if  $T$  is not a leaf node
     $align\_left = \text{progressive\_alignment}(T.left)$ 
     $align\_right = \text{progressive\_alignment}(T.right)$ 
     $align = \text{LAGAN}(align\_left, align\_right, anchors)$ 
  return  $align$ 
```

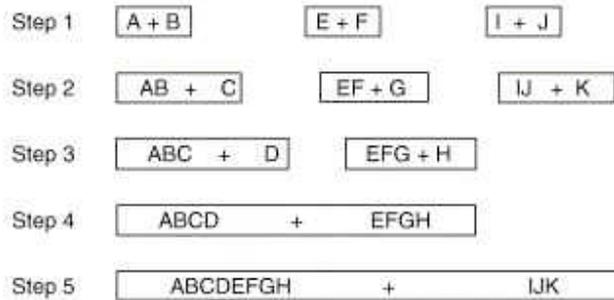
Progressive Alignment

- given a *guide tree* relating n genomes
- construct multiple alignment by performing $n-1$ pairwise alignments

(a) Guide tree



(b) Sequence addition order



Progressive Alignment: MLAGAN Example

align pairs
of sequences

human chimpanzee mouse rat

align multi-sequences
(alignments)

align multi-sequence
with sequence

chicken

Progressive Alignment: MLAGAN Example

suppose we're aligning the multi-sequence X/Y with Z

- anchors from X-Z and Y-Z become anchors for X/Y-Z
- overlapping anchors are reweighted
- LIS algorithm is used to chain anchors

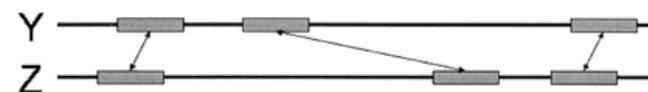
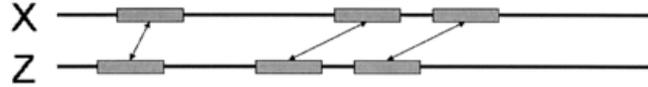
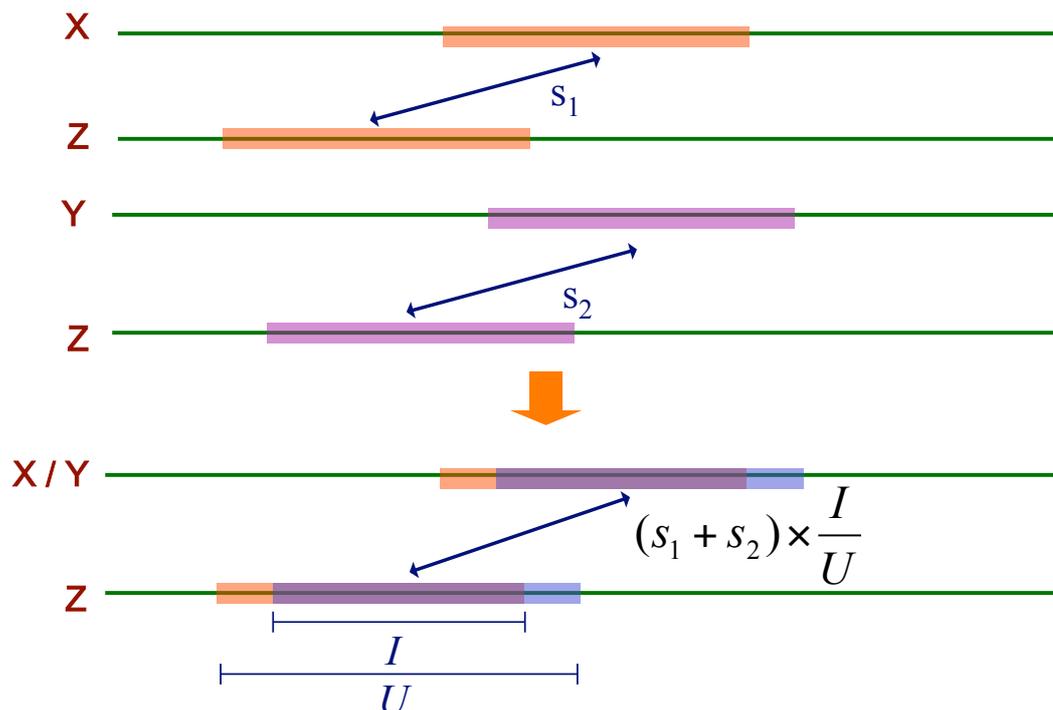
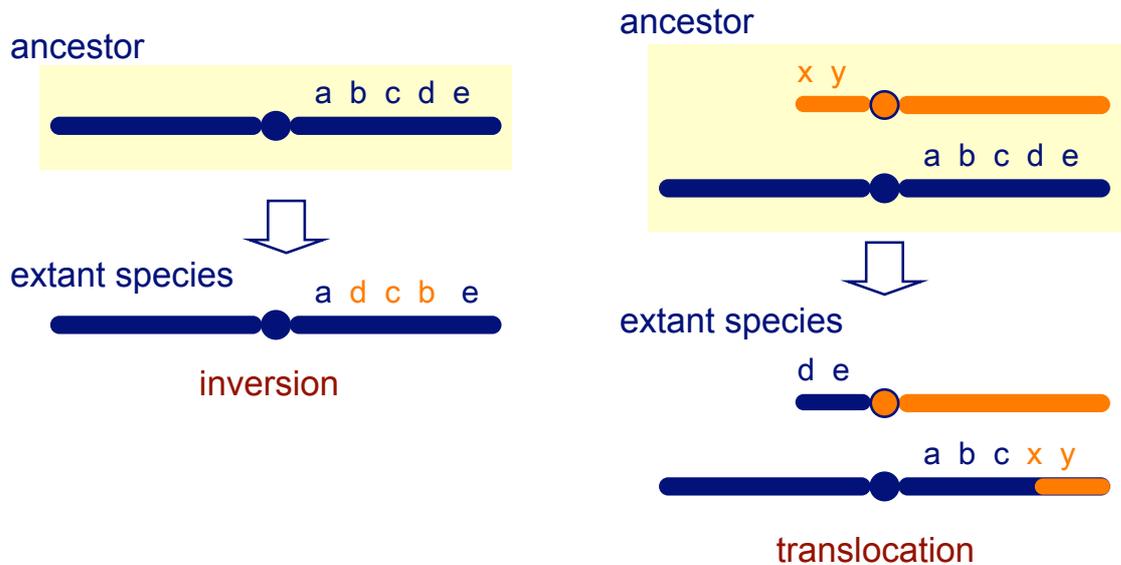


Figure from: Brudno et al. *Genome Research*, 2003

Reweighting Anchors in MLAGAN



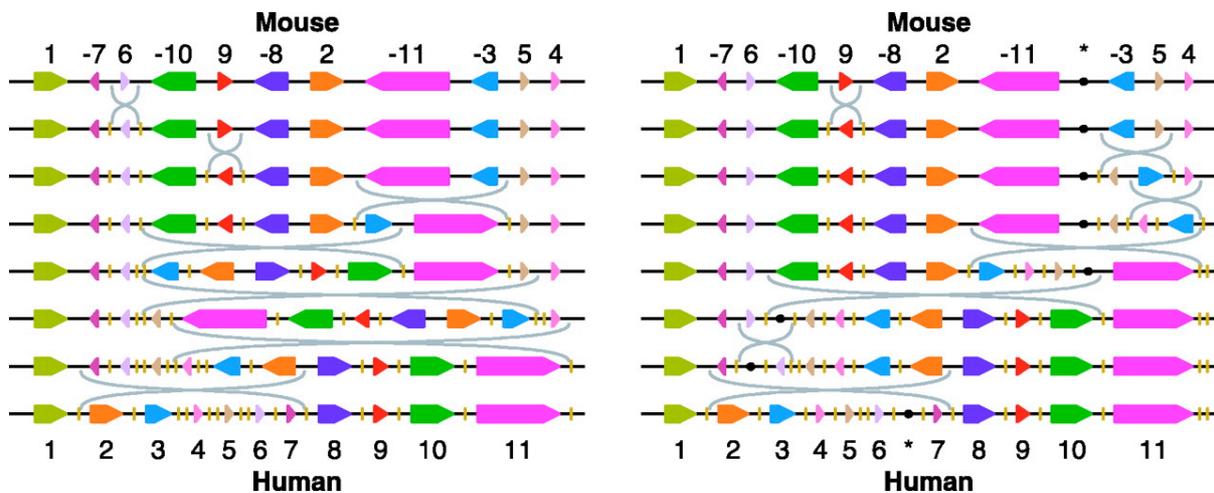
Genome Rearrangements



- can occur within a chromosome or across chromosomes
- can have combinations of these events

Genome Rearrangement Example: Mouse vs. Human X Chromosome

Figure from: Pevzner and Tesler. *PNAS*, 2003



- each colored block represents a syntenic region of the two chromosomes
- the two panels show the two most parsimonious sets of rearrangements to map one chromosome to the other

The Mauve Method

[Darling et al., *Genome Research*, 2004]

Given: k genomes X^1, \dots, X^k

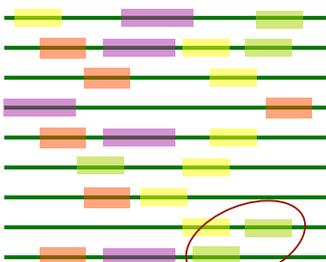
1. find multi-MUMs (MUMs present in 2 or more genomes)
2. calculate a guide tree based on multi-MUMs
3. find LCBs (sequences of multi-MUMs) to use as anchors
4. do recursive anchoring within and outside of LCBs
5. calculate a progressive alignment of each LCB using guide tree

* note: no LIS step!

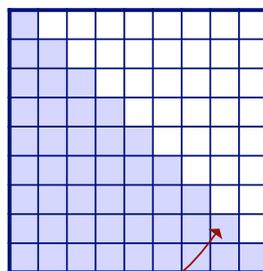
2. Calculating the Guide Tree in Mauve

- unlike MLAGAN, Mauve calculates the guide tree instead of taking it as an input

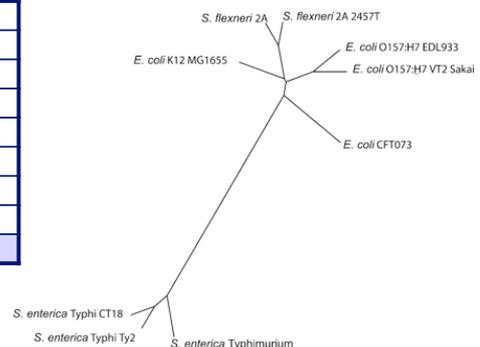
1. find multi-MUMs in sequences



2. calculate pairwise distances



3. run neighbor-joining to get guide tree



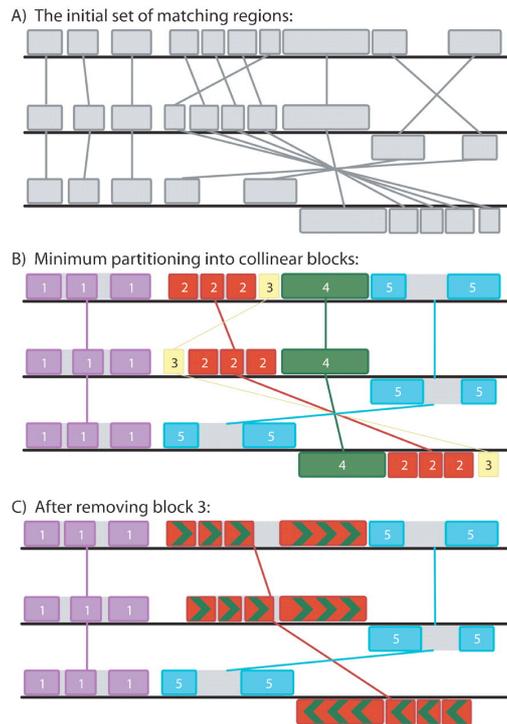
- distance between two sequences is based on fraction of sequences shared in multi-MUMs

3. Selecting Anchors: Finding Local Collinear Blocks

repeat

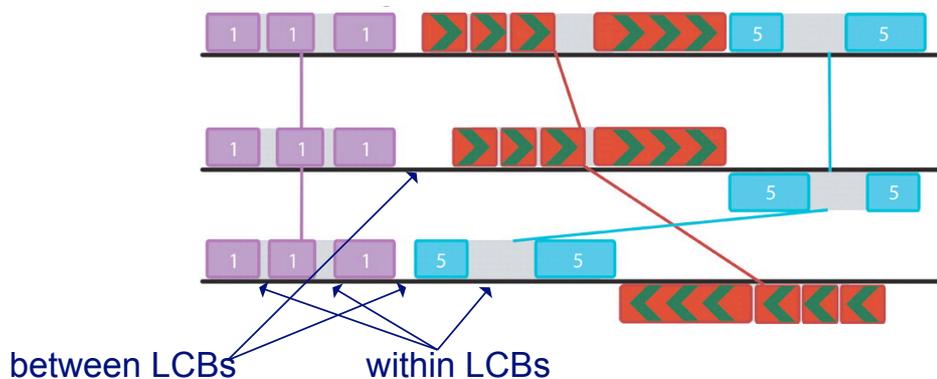
- partition set of multi-MUMs, M into collinear blocks
- find minimum-weight collinear block(s)
- remove minimum weight block(s) if they're sufficiently small

until minimum-weight block is not small enough

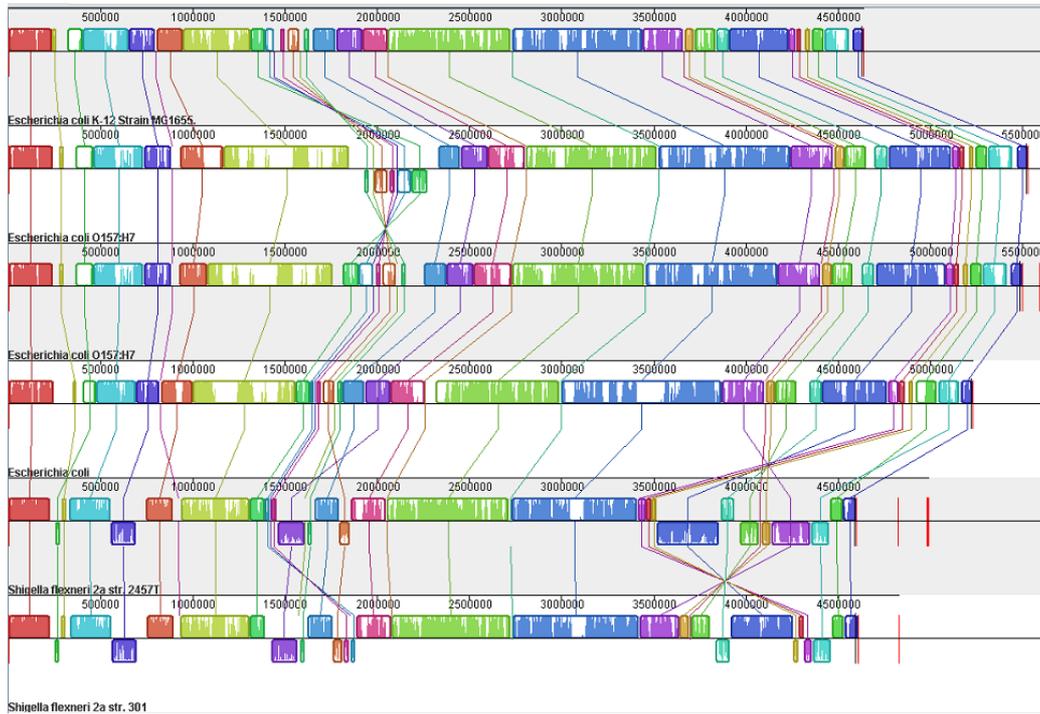


4. and 5. Recursive Anchoring and Gapped Alignment

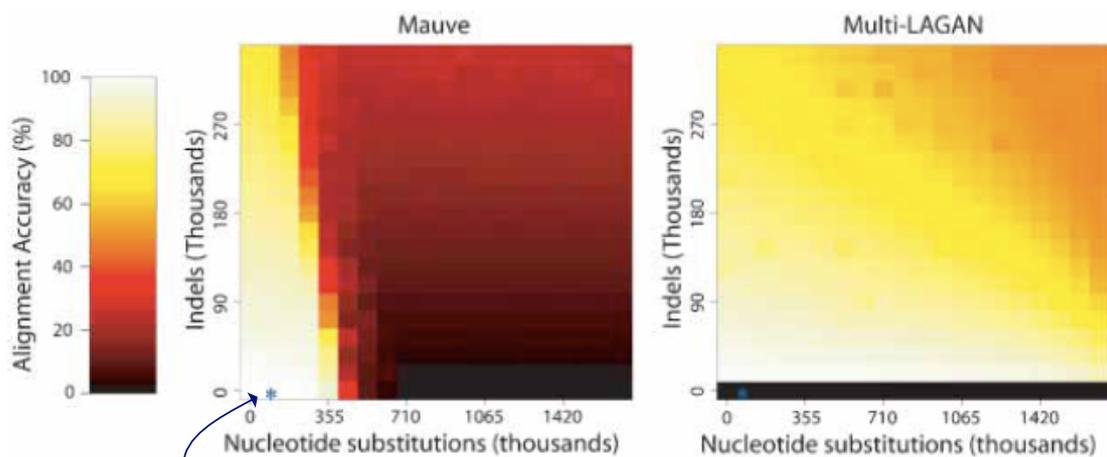
- recursive anchoring (finding finer multi-MUMs and LCBs) and standard alignment (CLUSTALW) are used to extend LCBs



Mauve Alignment of 9 Enterobacteria (*Salmonella* and *E. coli*)



Mauve vs. MLAGAN: Accuracy on Simulated Genome Data



substitution and indel rates observed in enterobacteria

Mauve vs. LAGAN: Accuracy on Simulated Genome Data with Inversions

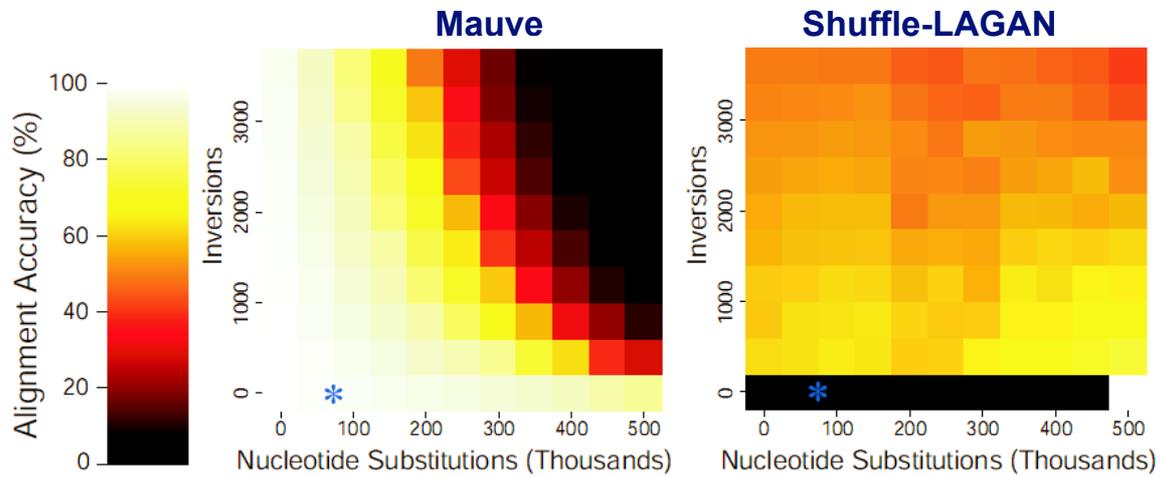
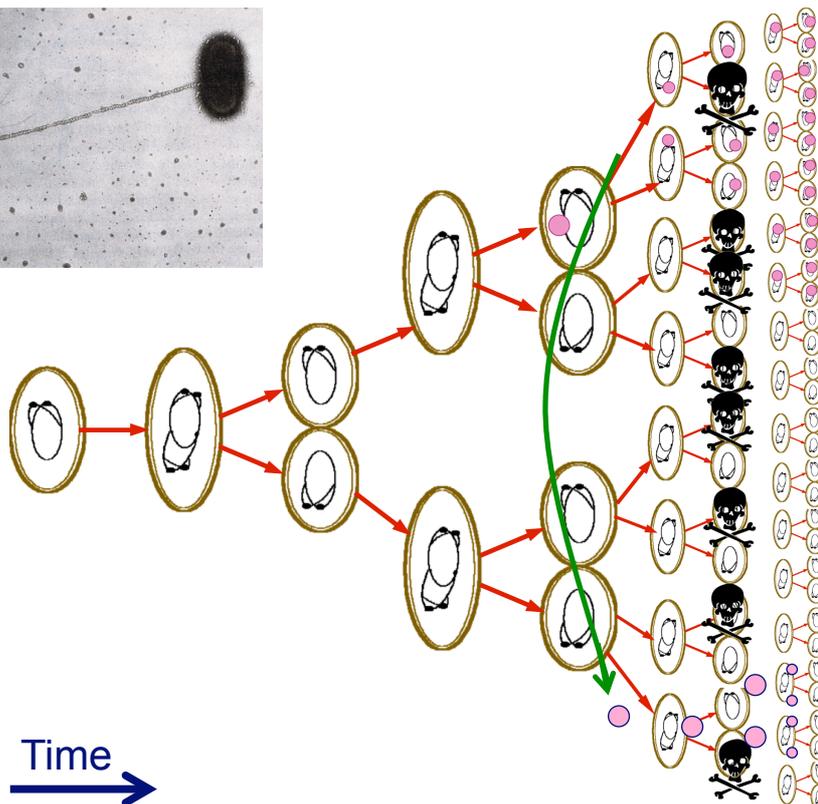
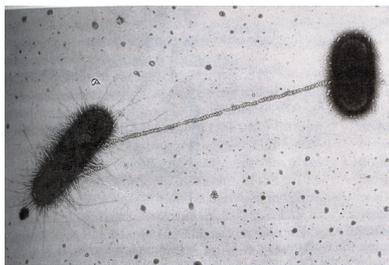
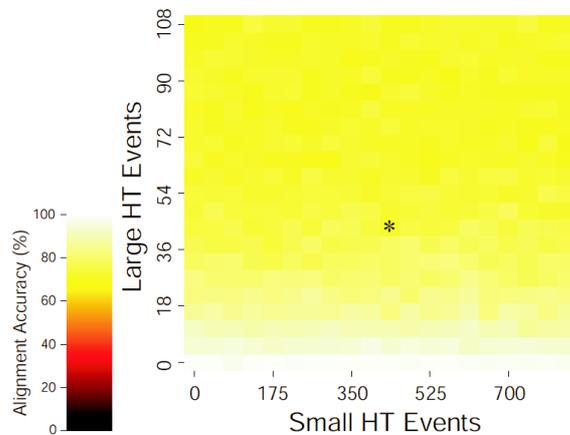
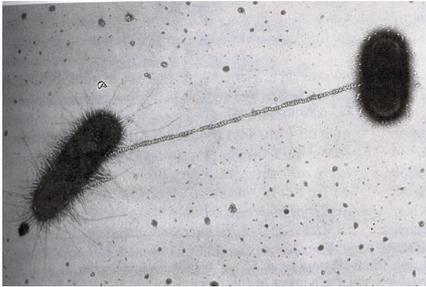


Figure courtesy of Aaron Darling

Evolution with *Horizontal Transfer*



Mauve Accuracy on Simulated Enterobacteria-like Data

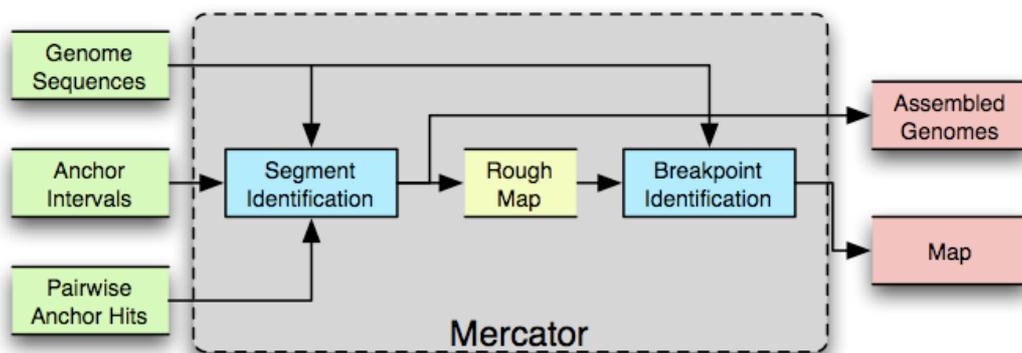


- data here include horizontal transfers

- small HT events have little effect compared to large HT events
- when scored on regions conserved in all 9 taxa, accuracy is always > 98%

Figures courtesy of Aaron Darling

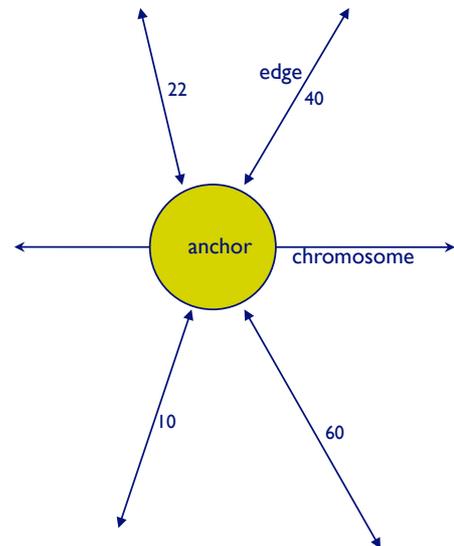
Mercator



- orthologous segment identification: graph-based method
- breakpoint identification: refine segment endpoints with a graphical model

Establishing Anchors Representing Orthologous Segments

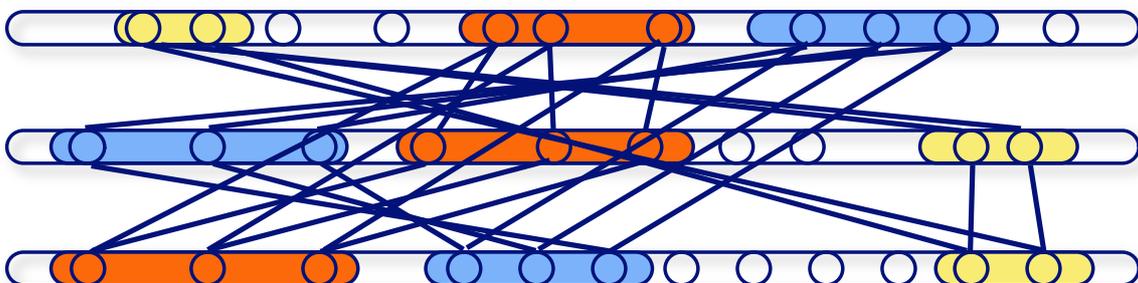
- anchors can correspond to genes, exons or MUMS
- e.g., may do all-vs-all pairwise comparison of genes
- construct graph with anchors as vertices and high-similarity hits as edges (weighted by alignment score)



Rough Orthology Map

k-partite graph with edge weights

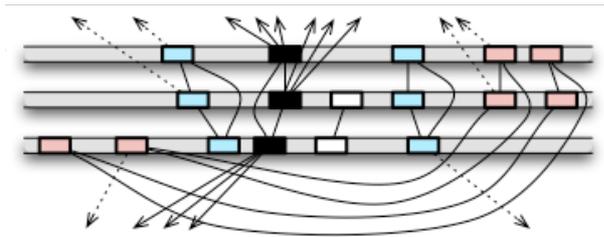
vertices = anchors, edges = sequence similarity



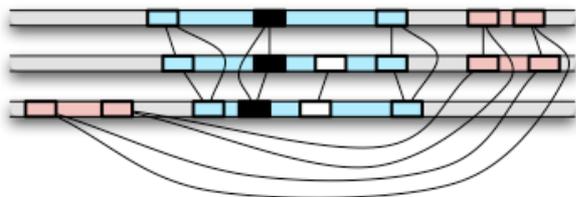
Greedy Segment Identification

- for $i = k$ to 2 do
 - identify repetitive anchors (depends on number of high-scoring edges incident to each anchor)
 - find “best-hit” anchor cliques of size $\geq i$
 - join colinear cliques into *segments*
 - filter edges not consistent with significant segments

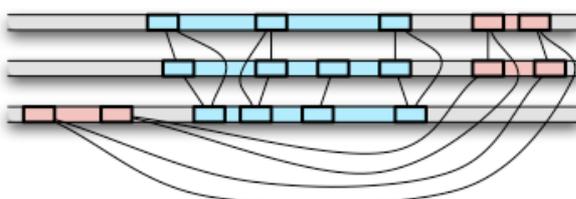
Mercator Example



repetitive elements (black anchors) are identified; 3-cliques (red and blue anchors) are found



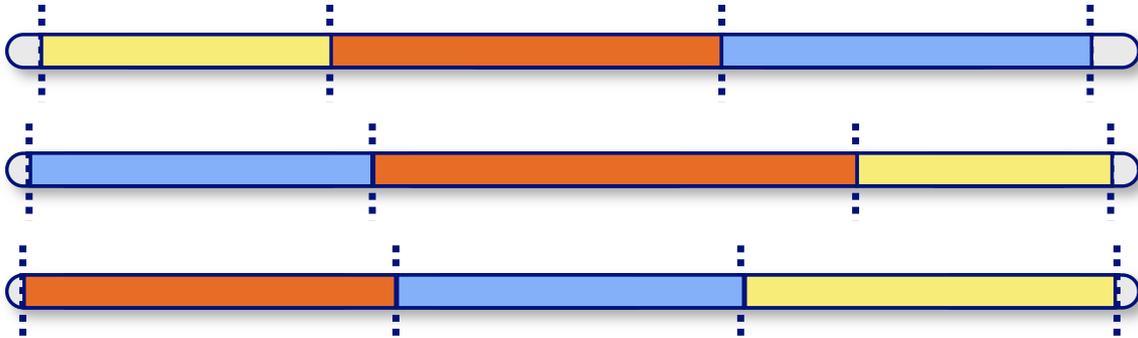
segments are formed by red and blue anchors; inconsistent edges are filtered



2-cliques are found and incorporated into segments

Refining the Map: Finding Breakpoints

- *breakpoints*: the positions at which genomic rearrangements disrupt colinearity of segments



- Mercator finds breakpoints by using inference in an *undirected graphical model*

Undirected Graphical Models

- an undirected graphical model represents a probability distribution over a set of variables using a factored representation

$$p(\mathbf{b}) = \frac{1}{Z} \prod_{C \in \text{cliques}} \psi_C(\mathbf{b}_C)$$

B_i random variable

\mathbf{b} assignment of values to all variables

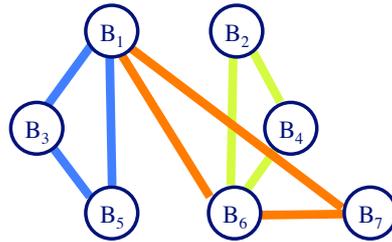
\mathbf{b}_C assignment of values subset of variables in C

ψ_C function (called a potential) representing the “compatibility” of a given set of values

Z normalization term

Undirected Graphical Models

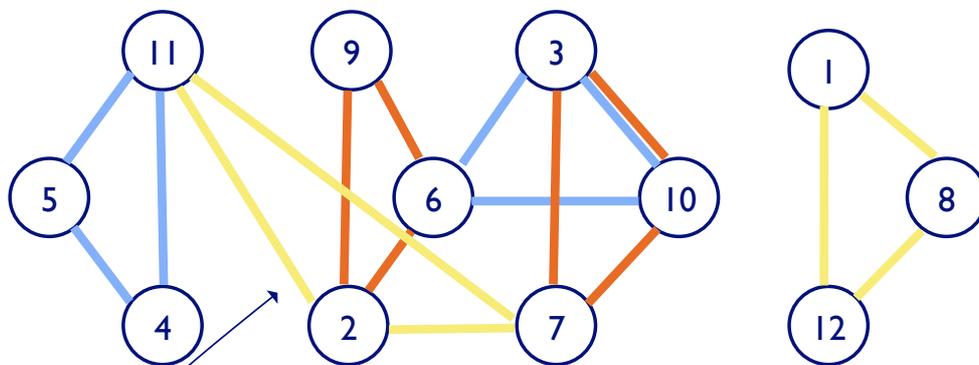
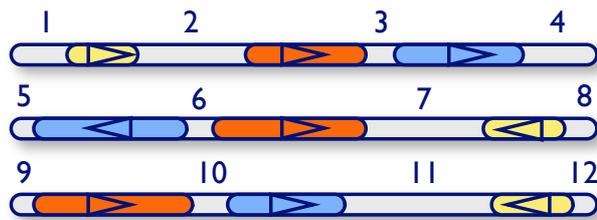
$$p(\mathbf{b}) = \frac{1}{Z} \prod_{C \in \text{cliques}} \psi_C(\mathbf{b}_C)$$



for the given graph:

$$p(\mathbf{b}) = \frac{1}{Z} \psi_1(b_1, b_3, b_5) \psi_2(b_1, b_6, b_7) \psi_3(b_2, b_4, b_6)$$

The Breakpoint Graph



some prefix of region 2 and some prefix of region 11
should be aligned

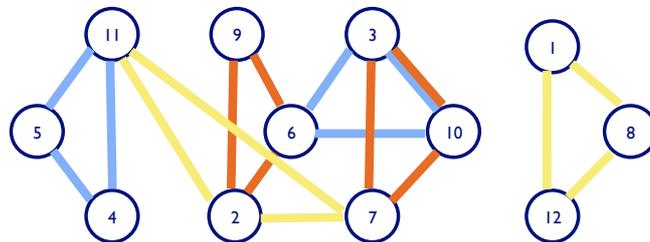
Breakpoint Undirected Graphical Model

- Mercator frames the task of finding breakpoints as an inference task in an undirected graphical model

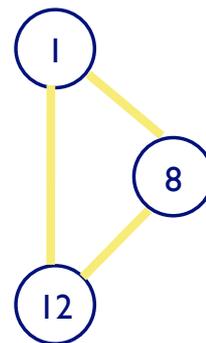
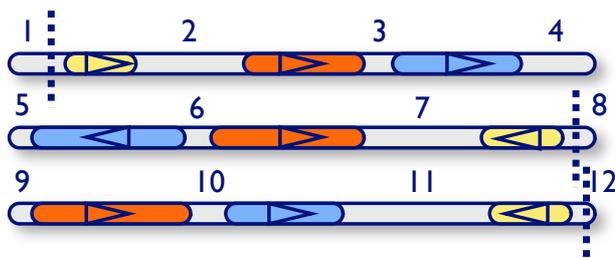
$$p(\mathbf{b}) = \frac{1}{Z} \prod_{C \in \text{cliques}} \psi_C(\mathbf{b}_C)$$

configuration of breakpoints

potential function representing score of multiple alignment of sequences in clique C for breakpoints in b



Breakpoint Undirected Graphical Model



- the possible values for a variable indicate the possible coordinates for a breakpoint
- the potential for a clique is a function of the alignment score for the breakpoint regions split at the breakpoints \mathbf{b}_C

Breakpoint Undirected Graphical Model

$$p(\mathbf{b}) = \frac{1}{Z} \prod_{C \in \text{cliques}} \psi_C(\mathbf{b}_C)$$

- *inference task*: find most probable configuration \mathbf{b} of breakpoints
- not tractable in this case
 - graph has a high degree of connectivity
 - multiple alignment is difficult
- so Mercator uses several heuristics

Making Inference Tractable in Breakpoint Undirected Graphical Model

~~$$p(\mathbf{b}) = \frac{1}{Z} \prod_{C \in \text{cliques}} \psi_C(\mathbf{b}_C)$$~~

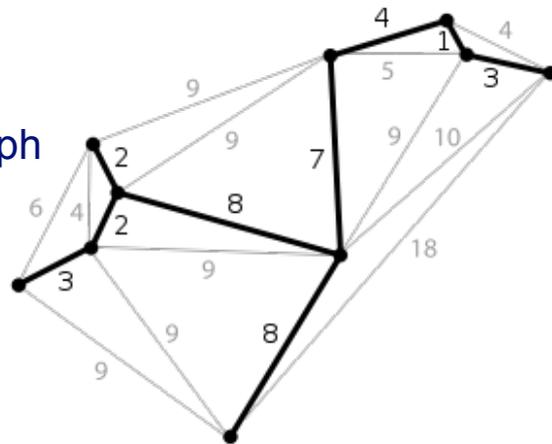
- assign potentials, based on pairwise alignments, to edges only

$$p(\mathbf{b}) = \frac{1}{Z} \prod_{(i,j) \in \text{edges}} \psi_{i,j}(b_i, b_j)$$

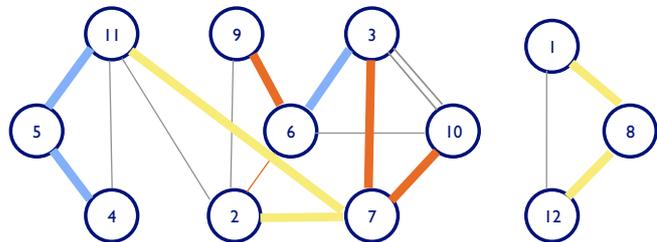
- eliminate edges by finding a *minimum spanning forest*, where edges are weighted by phylogenetic distance

Minimal Spanning Forest

- *minimal spanning tree*: a minimal-weight tree that connects all vertices in a graph



- *minimal spanning forest*: a set of MSTs, one for each connected component



Breakpoint Finding Algorithm

1. construct breakpoint segment graph
2. weight edges with phylogenetic distances
3. find minimum spanning tree/forest
4. perform pairwise alignment for each edge in MST
5. use alignments to estimate $\psi_{i,j}(b_i, b_j)$
6. perform max-product inference (similar to Viterbi) to find maximizing b_i

Comments on Whole-Genome Alignment Methods

- employ common strategy
 - find seed matches
 - identify (sequences of) matches to anchor alignment
 - fill in the rest with standard methods (e.g. DP)
- vary in what they (implicitly) assume about
 - the distance of sequences being compared
 - the prevalence or rearrangements
- involve a lot of heuristics
 - for efficiency
 - because we don't know enough to specify a precise objective function (e.g. how should costs should be assigned to various rearrangements)